



# Houston Casualty Company

Houston, Texas

## DECLARATIONS

### INVESTMENT ADVISER AND FUND PROFESSIONAL AND DIRECTORS AND OFFICERS LIABILITY INSURANCE POLICY (THIS IS A CLAIMS MADE AND REPORTED POLICY)

Broker:

Policy Number:

Renewal of:

Item A. **Named Insured:**  
**Principal Address:**

Item B. **Policy Period: From: To:**  
**12:01 a.m. Standard Time at the Principal Address Stated in Item A**

Item C. **Limit of Liability: \$** in the aggregate for the Policy.

Item D. **Coverages (check if purchased) and Retention (Each and Every Claim):**

- Insuring Agreement A(1): \$ 0 each Claim
- Insuring Agreement A(2): \$ 0 each Claim
- Insuring Agreement A(3): \$ 0 each Claim
- Insuring Agreement B(1): each Claim
- Insuring Agreement B(2): each Claim
- Insuring Agreement B(3): each Claim
- Insuring Agreement C: each Claim
- Insuring Agreement D: \$ 0 each Claim
- Insuring Agreement E: each Claim

Item E. **Premium: \$**

Item F. **Insured Percentage: 100% of Loss**

Item G. **1) Premium for Optional Extension Period: 150% of the total premium.**  
**2) Length of Optional Extension Period: 365 days.**


Item H. **Prior and Pending Litigation Date:**

Item I. **Form numbers of endorsements attached at issuance: IMH1000, DH009M, IMH2B1, IMH2P2, IMH2XX1, IMH3B1, IMH3C1, IMH4E1, IMH4H1, DH4001, IMH4XX2, DH4006, IMH4XX12, IMH4XX13, DH4005, DHMANU03, IMH8XX1, IMH9A2, IMH9C1, DHMANU04, DHMANU05, DH008**

Item J. **Notice of Claim: Tokio Marine HCC - D&O Group**  
**8 Forest Park Drive**  
**Farmington, CT 06032**  
**Attention: Claims Manager**  
or  
[usclaims@tmhcc.com](mailto:usclaims@tmhcc.com)

#### NOTICE:

The insurance hereby evidenced is written by an approved non-licensed insurer in the State of Ohio and is not covered in case of insolvency by the Ohio Insurance Guaranty Association.

by   
Authorized Representative